

## Audit, Standards and Risk Committee Work Plan 2023/24

<b>Date</b>	<b>Agenda Item</b>
21 <sup>st</sup> June 2023	<p><u>Substantive items</u>            Appointment of Chair and Vice-chair            ToR Review including Work Plan for 23/34            MCA Review            IA Annual Report/Head of Audit Opinion (inc 22/23 plan final position)            Final AGS 2022/23            Bernard Road Risk            Tram Concession Programme Plan            Draft Accounts</p> <p><u>Standing items</u>            Health and Safety Update/Report (period 1<sup>st</sup> Jan to 31<sup>st</sup> Mar)            Internal Audit Reports – Adult Education Budget, Integration of HR            Transport Risk Working Group Update            Risk Monitoring Report            Governance Improvement Plan 2023/24 Update            Breach of Controls Report            Issues for Escalation</p>
20 <sup>th</sup> September 2023	<p><u>Substantive items</u>            tbc</p> <p><u>Standing items</u>            Health and Safety Update/Report (no data available)            Internal Audit Plan Progress Report            Internal Audit Reports – Bus Data Quality, Cyber Security            Internal Audit Recommendations 22/23 Tracker (GT)            Internal Audit Recommendations 23/24 Tracker (RSM)            Transport Risk Working Group Update            Risk Monitoring Report            Governance Improvement Plan 2023/24 Update            Breach of Controls Report            Work Plan 2023/24 (Chronologically)            Issues for Escalation</p>
13 <sup>th</sup> December 2023	<p><u>Substantive items</u>            Annual Governance Review Process            Mid-year Treasury Management Strategy report            Assurance Framework</p> <p><u>Standing items</u>            Health and Safety Update/Report (period 1<sup>st</sup> July to 30 Sept)            Internal Audit Plan Progress Report</p>

	<p>Internal Audit Reports – Risk Management, Purchasing &amp; Creditors, Trams Services Progress Healthcheck and H &amp; S Framework</p> <p>Transport Risk Working Group Update</p> <p>Risk Monitoring Report</p> <p>Governance Improvement Plan 2023/24 Update</p> <p>Breach of Controls Report</p> <p>Work Plan 2023/24 (Chronologically)</p> <p>Issues for Escalation</p>
Jan	Propose Treasury Management is delegated and then an L & D session is scheduled
20 <sup>th</sup> March 2024	<p><u>Substantive items</u></p> <p>Findings of the Annual Governance Review 2023/24</p> <p>Code of Corporate Governance Review</p> <p>Internal Audit Plan/Internal audit strategy 2023/24</p> <p>Internal Audit Annual Performance Report</p> <p>Committee Effectiveness Findings</p> <p>Draft Annual Governance Statement and Governance Improvement Plan 2024/25</p> <p>Final Accounts</p> <p>External Auditor's Annual Audit and Inspection Letter</p> <p>Treasury Management Strategy</p> <p><u>Standing items</u></p> <p>Health and Safety Update/Report (no data)</p> <p>Internal Audit Plan Progress Report (inc outcome of Annual Service Review Meeting)</p> <p>Internal Audit Reports – AEB, Governance, Assurance Framework</p> <p>Internal Audit Recommendations 22/23 Tracker (GT)</p> <p>Internal Audit Recommendations 23/24 Tracker (RSM)</p> <p>Transport Risk Working Group Update</p> <p>Risk Monitoring Report</p> <p>Governance Improvement Plan 2023/24 Update</p> <p>Breach of Controls Report</p> <p>Work Plan 2023/24 (Chronologically)</p> <p>Issues for Escalation</p>
*June 2024	<p><u>Substantive items</u></p> <p>Appointment of Chair and Vice-chair</p> <p>Final Annual Governance Statement</p> <p><u>Standing items</u></p> <p>Health and Safety Update/Report (period 1<sup>st</sup> Jan to 31<sup>st</sup> Mar)</p> <p>Internal Audit Plan Progress Report</p> <p>Internal Audit Reports – tbc</p> <p>Internal Audit Recommendations 22/23 Tracker (GT)</p>

	Transport Risk Working Group Update Risk Monitoring Report Governance Improvement Plan 2023/24 Update Breach of Controls Report Work Plan 2023/24 (Chronologically & against ToR) Issues for Escalation
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\*Date to be confirmed